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Associates, P.C.**4100 Alpha Road
Suite 1100
Dallas, Texas 75244Main No. (972) 385-8777
Facsimile (972) 385-7766**Facsimile Cover Sheet**

To: Commissioner for Patents for Examiner David E. England Group Art Unit 2143	Facsimile No.: 571/273-8300
From: Amelia Turner for Stephanie Fay Legal Assistant to Hope Shimabuku	No. of Pages Including Cover Sheet: 28
Message: Transmitted herewith: <ul style="list-style-type: none">• Transmittal Document;• Response to Notice of Non-Compliant Amendment; and• Copy of Notice of Non-Compliant Amendment.	
Re: Application No.: 10/615,164 Attorney Docket No: JP9-1999-0099US3	
Date: Thursday, January 05, 2006	
Please contact us at (972) 385-8777 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.	<i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i>

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JAN 05 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Kawase et al.**

Serial No.: 10/615,164

Filed: July 8, 2003

For: **Method and System for Changing
a Collaborating Client Behavior
According to Context**36736
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER§ Group Art Unit: 2143
§
§ Examiner: **England, David E.**
§
§ Attorney Docket No.: **JP9-1999-0099US3**
§§ Certificate of Transmission Under 37 C.F.R. § 1.8(a)
§ I hereby certify this correspondence is being transmitted via
§ facsimile to the Commissioner for Patents, P.O. Box 1450,
§ Alexandria, VA 22313-1450, facsimile number (571) 273-8300,
§ on 01.05.06By: Amelia C. Turner
Amelia C. TurnerTRANSMITTAL DOCUMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

TRANSMITTED HEREWITH:

- Response to Notice of Non-Compliant Amendment; and
- Copy of Notice of Non-Compliant Amendment.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0461.

Respectfully submitted,

Hope Shimabuku
Hope Shimabuku
Registration No. 57,072Duke W. Yee
Registration No. 34,285
YEE & ASSOCIATES, P.C.
P.O. Box 802333
Dallas, Texas 75380
(972) 385-8777
ATTORNEYS FOR APPLICANTS



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/615,164	07/08/2003	Seisochi Kawano	JP9-1999-00991US3	8607
25259	7390	12/19/2003	EXAMINER	
IBM CORPORATION 3039 CORNWALLIS RD. DEPT. T81 / B503, PO BOX 12195 RESEARCH TRIANGLE PARK, NC 27709			ENGLAND, DAVID E	
			ART UNIT	PAPER NUMBER
			2143	
DATE MAILED: 12/19/2003				

Please find below and/or attached an Office communication concerning this application or proceeding.

CLIENT DOCKET INFORMATION
 Client Name JP9-1999-00991 US3
 File No. _____
 DATE 12.19.03 ACTION DOCKETED
Response to
Notice of Non-
Compliant Amendment
 Docketed By AND Date 12.27.03
 Checked By AND Date 12.27.03
 Attorney Initials _____ Date _____

Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No.

1065104

Applicant(s)

Examiner

Art Unit

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —

The amendment document filed on 12/26/05 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
 - ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other _____
- ☐ 2. Abstract:
 - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other _____
- ☐ 3. Amendments to the drawings:
 - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - ☐ C. Other _____
- ☒ 4. Amendments to the claims:
 - ☐ A. A complete listing of all of the claims is not present.
 - ☒ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other: _____
- ☐ 5. The amendment is unsigned or not signed in accordance with 37 CFR 1.4.

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opa/precomnotice/officeflyer.pdf>.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- Applicant is given no new time period if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted within the time period set forth in the final Office action.
- Applicant is given one month, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121 or 1.4, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.

Failure to timely respond to this notice will result in:

- Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or
- Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Rogers Yarbrough

Legal Instruments Examiner (LIE)

571 872-1859

Telephone No.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Kawase et al.

Serial No.: 10/615,164

Filed: July 8, 2003

For: Method and System for Changing
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Group Art Unit: 2143

Examiner: England, David E.

Attorney Docket No.: JP9-1999-0099US3

<p>Certificate of Transmission Under 37 C.F.R. § 1.8(a) I hereby certify this correspondence is being transmitted via facsimile to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile number (571) 273-8300, on <u>01.05.06</u> By: <u>Amelia C. Turner</u> Amelia C. Turner</p>
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RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0461.

In response to the Notice of Non-Compliant Amendment dated December 19, 2005, please replace the Response to Office Action filed on November 29, 2005 with the following Response to Office Action. Please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Drawings begin on page 3 of this paper.

Listing of Claims begins on page 4 this paper.

Remarks begin on page 10 of this paper.